

FORM PTO-1390 REV. 2/01T U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 04853.0121 U.S. APPLICATION NO. (If known, see 37CFR1.5) 10/519,212	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			
INTERNATIONAL APPLICATION NO. PCT/JP2003/008217	INTERNATIONAL FILING DATE June 27, 2003	PRIORITY DATE CLAIMED June 28, 2002	
TITLE OF INVENTION METHOD FOR PRODUCING LACTONE			
APPLICANT(S) FOR DO/EO/US Katsuhisa MITSUHASHI and Makoto IIMORI			
Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	<input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C 371.		
2.	<input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3.	<input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4.	<input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).		
5.	<input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed with the United States Receiving Office (RO/US).		
6.	<input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4).		
7.	<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.		
8.	<input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).		
9.	<input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).		
10.	<input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).		
Items 11 to 20 below concern document(s) or information included:			
11.	<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement under 37 CFR 1.97(b)		
12.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13.	<input type="checkbox"/> A FIRST preliminary amendment.		
14.	<input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.		
15.	<input type="checkbox"/> A Substitute specification.		
16.	<input type="checkbox"/> A change of power of attorney and/or address letter.		
17.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.		
18.	<input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d)(4).		
19.	<input type="checkbox"/> A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).		
20.	<input type="checkbox"/> Other items or information: a. <input type="checkbox"/> Copy of cover page of International Publication No. WO 2004/003213 A1 b. <input type="checkbox"/> Copy of Notification of Missing Requirements. c. <input type="checkbox"/> Copy of Receipt in the Case of an Original Deposit for Accession Number FERM BP-8388 and English translation thereof.		

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21. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):		\$300.00		
National Stage Search Fee.....		\$500.00		
National Stage Examination Fee.....		\$200.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	38	- 20 =	x \$50.00	\$0.00
Independent Claims	9	-3 =	x \$200.00	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$360.00	\$0.00
		TOTAL OF THE ABOVE CALCULATIONS =	\$0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$		
		SUBTOTAL =	\$0.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$		
		TOTAL NATIONAL FEE =		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.		+ \$0.00		
		TOTAL FEES ENCLOSED =	\$0.00	
		Amount to be refunded:	\$	
		charged:	\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315				
 SIGNATURE Ernest F. Chapman/25,961 NAME/REGISTRATION NO.				
DATED: February 17, 2005				